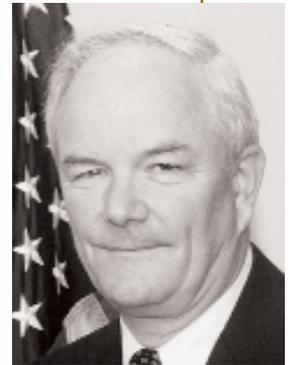


**OFFICE OF THE UNDER SECRETARY OF DEFENSE
3000 DEFENSE PENTAGON
WASHINGTON, D.C. 20301- 3000**



ACQUISITION,
TECHNOLOGY AND
LOGISTICS

JUL 29 2003



MEMORANDUM FOR: SEE DISTRIBUTION

SUBJECT: Policy for Unique Identification (UID) of Tangible Items—New Equipment, Major Modifications, and Reprocurements of Equipment and Spares

Unique Identification (UID) is a mandatory Department of Defense (DoD) requirement on all solicitations issued on or after January 1, 2004. I strongly encourage the Component Acquisition Executives to incorporate this policy into ongoing contracts where it makes business sense to do so.

Contracts shall require unique item identification, or a DoD-recognized unique identification equivalent, for all property items delivered to the government if: (1) the acquisition cost is \$5,000 or more; (2) it is either a serially managed, mission-essential, or controlled inventory piece of equipment, or a reparable item, or a consumable item, or material where permanent identification is required; (3) it is a component of a delivered item, if the program manager has determined that unique identification is required; or (4) a UID or a DoD-recognized UID equivalent is available. Existing government-furnished property provided to contractors is exempt from this policy until January 1, 2005, when this policy becomes mandatory for all government-furnished property incorporated into an end item. Unique identification will complement the Department's existing policy on serialized item management.

Component Acquisition Executives (CAEs) shall ensure their program managers understand the criticality of requiring UID and integrating this change into the appropriate business processes. All program managers for new equipment, major modifications, and reprocurements of equipment and spares shall begin planning to apply UID on tangible items using the attached guidance. Wide Area Workflow (WAWF) will be modified to capture the UID associated with each item. DoD Components are expected to transition rapidly to the WAWF as a mandatory payment requirement by no later than January 1, 2005. I encourage the CAEs to promote and fund pilot programs to apply UID to legacy equipment and their supporting Automated Information Systems (AISs). A Joint Implementation Requirements Board for UID will be established. This Board will focus on business rules for enabling all AISs to use the UID as a primary or alternate key to achieve a globally interoperable network-centric architecture for the integrated management of tangible items.

The Department, along with its industry and international partners, clearly prefers use of constructs described in ISO/IEC 15434 to achieve interoperability in business intelligence. However, this requires ISO approval to add a new format to ISO/IEC 15434 for those ATA Spec 2000 Text Element Identifiers (TEIs) used in UID. The Department values the formal ISO approval process and is preparing to submit a proposal to ISO/IEC JTC1/SC 31 seeking approval of a new format for the TEI addition. That approval process is lengthy, and, in the interim, a collaborative solution is necessary to create a near-term interoperable environment for UID enhancements to business intelligence to support coalition operations. This solution uses the structure of ISO/IEC 15434 as the UID syntax standard and the business rules in the attached Appendix A. If approved, the new format shall be used and replace the interim "DD" format described in this policy. Consideration and decisions on marking approaches should carefully weigh any impacts to changing from the "DD" format to an approved future format against any

POLICY & LEGISLATION

associated costs and strategic near-term marking requirements. ISO/IEC 15434 is and will be the Department's preferred approach on all new solicitations. The use of the collaborative solution format as described in the attached Appendix B should strictly be considered an interim approach.

By October 1, 2003, the Director, Defense Procurement and Acquisition Policy will publish an interim rule that modifies the Defense Federal Acquisition Regulations to capture the acquisition cost of tangible items, and place UID on them coincident with their acquisition. A subsequent rule will be issued to finalize government-furnished property requirements prior to January 1, 2005.

A DoD UID Program Management Office will be established to manage UID implementation. The Office charter will have a provision for completing its work and transferring any continuing efforts to the DoD Components. For the time being, the UID Integrated Product Team (IPT) will continue to work on issues in the following areas:

- Participate in the ISO/IEC SC 31 process to obtain approval of an amendment to ISO/IEC 15434.
- Develop policy modifications to MIL-STD-129, MIL-STD-130, DoD 4140.1-R, DoDI 5000.2, DoDI 5000.64, DoD 7000.14-R, CJCSI 3170.1C, DCMA One Book, and MIL Handbook 61A to ensure synchronized policy execution.
- Publish an online users guide on UID requirements and application.
- Determine minimum architecture/systems requirements to capture UID information at inspection and acceptance and identify opportunities for rapid implementation.
- Oversee any UID demonstration programs.
- Develop training and education materials working in partnership with the Defense Acquisition University.
- Conduct outreach and communication to promote adoption of UID by the Department and its industry and international partners.

This guidance supersedes my memoranda of December 19, 2002, and April 4, 2003, where I promised to issue a mandatory UID policy no later than July 2003. Additional information and a DoD Unique Identification Guide are at <http://www.acq.osd.mil/uid>. The point of contact is Mr. Robert Leibrandt. Please address your questions to him at (703) 695-1099 or by e-mail at robert.leibrandt@osd.mil.



Michael W. Wynne
Acting

Attachments:
As stated

Editor's Note: To view the distribution and download the attachments to Secretary Wynne's memorandum, go to the Director, Defense Procurement and Acquisition Policy Web site at <http://www.acq.osd.mil/dpap/>.



ACQUISITION,
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JUN 27 2003



MEMORANDUM FOR DIRECTOR, ACQUISITION RESOURCES AND ANALYSIS

SUBJECT: Annual Report on Metrics for AT&L Goal #2, "Revitalize the Quality and Morale of the Acquisition, Technology and Logistics (AT&L) Workforce"

Attached is subject report covering Acquisition, Technology and Logistics Workforce data as of September 30, 2002. Originally, Goal #2 had nine metrics. In September 2002, the Under Secretary of Defense (AT&L) approved a consolidation of this goal's metrics, reducing the number from nine to five. In April of this year, as the result of a successful survey measuring Workforce Job Satisfaction, Mr. Wynne approved cessation of reporting on that metric. The attached report provides status on the remaining four metrics. My point of contact is Mr. John Michel at (703)681-3541.

Director, Defense Procurement and Acquisition Policy

Attachment:
As stated

Editor's Note: To download Powerpoint slides with information on the remaining four metrics, go to the Director, Defense Procurement and Acquisition Policy Web site at <http://www.acq.osd.mil/dpap/workforce/careermanagement.htm>.





ACQUISITION,
TECHNOLOGY AND
LOGISTICS

**OFFICE OF THE UNDER SECRETARY OF DEFENSE
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WASHINGTON, D.C. 20301-3000**

JUN 25 2003



MEMORANDUM FOR DIRECTORS OF DEFENSE AGENCIES
DEPUTY ASSISTANT SECRETARY OF THE ARMY
(POLICY AND PROCUREMENT), ASA(ALT)
DEPUTY ASSISTANT SECRETARY OF THE NAVY
(ACQUISITION MANAGEMENT), ASN(RDA)
DEPUTY ASSISTANT SECRETARY OF THE AIR

FORCE

(CONTRACTING), SAF/AQC
DIRECTOR, DEFENSE CONTRACT MANAGEMENT AGENCY
EXECUTIVE DIRECTOR, ACQUISITION, TECHNOLOGY,
AND SUPPLY DIRECTORATE (DLA)

SUBJECT: Class Deviation—Prohibited Sources

Effective as of the date of this memo, all military departments and defense contracting activities shall deviate from the requirements of Federal Acquisition Regulation (FAR) Subpart 25.7, 25.1103(a), and the clause at 52.225-13, Restrictions on Certain Foreign Purchases, when contracting for supplies or services with a value that exceeds the micro-purchase threshold. Instead, Contracting Officers shall use the attached FAR Subpart 25.7, 25.1103(a), and clause 52.225-13, Restriction on Certain Foreign Purchases (July 2000) (Deviation). When applicable, Contracting Officers shall also insert this modified clause in lieu of the standard FAR clause listed in 52.212-5, Contract Terms and Conditions Required to Implement Statute or Executive Order, or 52.213-4, Terms and Conditions—Simplified Acquisitions.

This deviation implements Executive Order 13192, Lifting and Modifying Measures With Respect to the Federal Republic of Yugoslavia (Serbia and Montenegro); Executive Order 13268—Termination of Emergency With Respect to the Taliban and Amendment of Executive Order 13224 of September 23, 2001; and the General license issued on May 23, 2003, by the Department of the Treasury entitled, "Iraqi Sanctions Regulations." Contracting Officers are to refer to the above Executive orders and the regulations of the Office of Foreign Assets Control (OFAC) at 31 CFR Chapter V. This deviation removes Serbia, the Taliban-controlled regions of Afghanistan, and Iraq from the list of prohibited sources. The Contracting Officer must check the lists of entities and individuals subject to economic sanctions that are available on OFAC's website at <http://www.treas.gov/ofac> and may not acquire from such entities and individuals (FAR 25.701(b)).



The Contracting Officer is no longer authorized in unusual circumstances to acquire for use outside the United States supplies or services restricted by this section, unless specifically authorized by OFAC. However, OFAC has granted authority to Department of Defense personnel to make emergency acquisitions in direct support of U.S. or allied forces deployed in military contingency, humanitarian, or peacekeeping operations in a country or region subject to economic sanctions administered by OFAC (see DFARS 225.701-70).

This deviation remains in effect until implemented in the Federal Acquisition Regulation, or is otherwise rescinded. My point of contact is Ms. Amy G. Williams at 703-602-0328 or via e-mail: amy.williams@osd.mil.



Deidre A. Lee
Director, Defense Procurement and
Acquisition Policy

Attachment:
As stated

cc:
DSMC, Ft. Belvoir

Editor's Note: To download the attachment to Lee's memorandum, go to the Director, Defense Procurement and Acquisition Policy Web site at <http://www.acq.osd.mil/dpap/>.

NEW DOD 5000 SERIES DOCUMENTS RELEASED AND SIGNED

With an eye toward increasing the authority and independence of the program manager, the new DoD 5000 series is now authorized. The new DoD Directive 5000.1 and DoD Instruction 5000.2 are available at: <http://www.acq.osd.mil/dpap>. They can also be reviewed on the AT&L Knowledge Sharing System (AKSS) Web site at <http://deskbook.dau.mil/jsp/default.jsp>.

DFARS TRANSFORMATION

The Defense Federal Acquisition Regulation Supplement (DFARS) Transformation task force is actively seeking input from within the government and from industry with respect to ideas for improvements to the DFARS and the process by which the DFARS is written. The Office of the Secretary of Defense (OSD) has established a Web site to collect ideas from interested parties. The task force is truly open to any and all ideas, and we highly encourage you to take advantage of this opportunity to submit your ideas individually via the Web site at <http://www.acq.osd.mil/dp/dars/transf.htm>.

Although the initial deadline has passed for submission of proposals, the task force will continue to collect proposals for consideration. Further, proposals will continue to be posted on the DFARS Transformation Web site so that you may view all improvement proposals submitted to date and so you can see what others within the government and industry are recommending.

CHIEF ACQUISITION OFFICERS

In an assessment of H.R. 1837, the Services Acquisition Reform Act (SARA) sponsored by House Government Reform Committee Chairman Tom Davis, R-Va., the General Accounting Office has endorsed a proposal to create Chief Acquisition Officers at civilian agencies. The bill would require that the top acquisition officials be politically appointed.

The Chief Acquisition Officer provision is one of several measures from SARA included in the House-passed version of the fiscal 2004 defense authorization bill, H.R. 1588.

"BUY AMERICAN" PROVISION

A "Buy American" provision, spearheaded by Armed Services Chairman Duncan Hunter, R-Calif., has been approved by the House in its fiscal 2004 defense authorization bill. The provision would require that "critical" components of most military systems be acquired from domestic sources. It would also raise the required "domestic content" in a system's labor and materials to 65 percent, from the current 50 percent. And it would require that major defense acquisition programs use only machine tools made in the United

States. The measure is now under House-Senate deliberation.

NEW DOD CAPABILITIES-BASED PROCESS REPLACES THE REQUIREMENTS GENERATION SYSTEM

The Joint Capabilities Integration and Development System (JCIDS) has been approved. JCIDS was developed in close collaboration with the DoD 5000 to foster efficiency, flexibility, creativity, and innovation. JCIDS is defined in Chairman, Joint Chiefs of Staff (CJCS) Instruction 3170.01C and an accompanying CJCS Manual 3170.01. The manual provides guidance on how to frame the analysis and procedures used to identify and document capability gaps. JCIDS will support DoD's aim of providing interoperable joint capabilities that best satisfy the needs of future warfighters. The new system promotes the definition of capability needs by using integrated architectures derived from and responsive to overarching top-level national strategy. JCIDS sets the stage for transition to a process founded on joint concepts and integrated architectures.

Additional training materials on the JCIDS will be available at the DoD 5000 Series Resource Center <http://dod5000.dau.mil/> in the near future.

DEPUTY ASSISTANT SECRETARY OF THE ARMY (POLICY AND PROCUREMENT) NEW WEB SITE

OASA(ALT), JULY 15, 2003

Deputy Assistant Secretary of the Army (Policy and Procurement) (SAAL-ZP) provides a wealth of acquisition policy and procurement knowledge through its new Web site and Army Knowledge Online. The redesigned Web site provides information on each of the SAAL-ZP Directorates, Acquisition Policy, Systems Support and Procurement and Industrial Base Policy. You can access the new site from the Assistant Secretary of the Army for Acquisition, Logistics and Technology/Army Acquisition Executive Web site (<https://webportal.saalt.army.mil/>) under the Deputy Assistant Secretary for Policy and Procurement. Or go directly to the DASA(P&P) Web site via the Deputy Assistant Secretary of the Army (Policy and Procurement) link (<http://das-app.saalt.army.mil/>).

ARMY ACQUISITION POLICY, ARMY REGULATION (AR) 70-1 RELEASED FOR FORMAL STAFFING

OASA(ALT), JULY 15, 2003

Army Regulation 70-1, Army Acquisition Policy, has been released for formal staffing. This is the final "up-or-down" staffing of AR 70-1, Army Acquisition Policy. "Major input" staffing took place last year. (After receiving all input, the

POLICY AND LEGISLATION

regulation was put on hold pending the DoD 5000-series rewrite.)

The draft AR is now posted to the Army Knowledge Online (AKO) Web site for review. An automated Data Comment Tracking Database has been developed for submitting comments. Initially, all comments will be submitted using the Data Comment Tracking system. Observations or comments that will improve the regulation are welcome (please be specific); however, be advised that the majority of new information in AR 70-1 is supported by approved policy statements. The OASA(ALT) does not anticipate many changes.

(James Inman/SAAL-PA/DSN 664-7111/james.inman@saalt.army.mil)

DRAFT ARMY GUIDEBOOK ON CONTRACTORS ACCOMPANYING THE FORCE IS AVAILABLE

OASA(ALT), JULY 15, 2003

A draft *Contractors Accompanying the Force Guidebook* (also sometimes called *Contractors on the Battlefield*) is now available at http://dasapp.saalt.army.mil/Ind_base_policy/SAALPP_index.htm. Its purpose is to facilitate getting consistent contractor deployment information into Army contracts. It consolidates information and answers to common questions from many sources, including "draft" regulations

and message traffic. It also provides resources and "template" scope of work language that could be used or tailored in your contracts. We also continue to work on both an Army and DoD contract clause. The Army clause should be published as an interim rule in the *Federal Register* by the end of August 2003. An article on this subject is also scheduled for publication in an upcoming issue of *Army AL&T* magazine.

(Sharon Wisniewski/DSN 664-7142, sharon.wisniewski@saalt.army.mil)

PURCHASE OF ITEMS TYPICALLY RESERVED FOR FEDERAL PRISON INDUSTRIES

The Office of the Director, Defense Procurement and Acquisition Policy (DPAP), has published guidance highlighting those Federal Acquisition Regulation (FAR) and Defense Federal Acquisition Regulation Supplement (DFARS) changes that allow increased competition and provide additional small business opportunities when purchasing items typically reserved for Federal Prison Industries, Inc. Provide any comments to the current DFARS clarifications by accessing the DPAP Web Site at <http://www.acq.osd.mil/dpap/policy/dfarspubliccomments.htm>. Refer questions to either Susan Schneider at susan.schneider@osd.mil or Philip Degen at philip.degen@osd.mil.

CONFERENCES, WORKSHOPS & SYMPOSIA

INTERSERVICE/INDUSTRY TRAINING, SIMULATION & EDUCATION CONFERENCE (I/ITSEC 2003)

The Interservice/Industry Training, Simulation & Education Conference (I/ITSEC 2003) will be held Dec. 1-4, 2003, in Orlando, Fla. Don't miss this 25-year anniversary event, which represents the premier annual conference for the simulation, training, and education communities of industry, government, and education. The I/ITSEC promotes cooperation among the armed services, industry, academia, and various government agencies in pursuit of improved training and education programs, identification of common issues, and development

of multi-Service programs. I/ITSEC also promotes the use of technology that will enable the services to better and more efficiently train soldiers, sailors, airmen, and marines to enhance their readiness to go in harm's way. This year's conference theme will be *25 Years: Enhancing Warfighter Performance Through Advanced Learning Technology*.

To learn more about the conference or register, visit the conference Web site at <http://register.ndia.org/interview/register.ndia?#December2003>.